SECTION II: GENERAL RULES AND REGULATIONS

APPLICATION OF RATES, RULES AND REGULATIONS

(a) Except as otherwise provided in individual items, the applicable rates, charges, rules and regulations under this tariff shall be those in effect at the time the charge is incurred.

Rates, rules and regulations contained in this tariff apply in connection with:

(1) Vessels docking at the wharves of the Port of Oakland
(2) Cargo handled over, onto or under facilities of the Port of Oakland
(3) Use of Port cranes or other land buildings or facilities of the Port of Oakland and services incidental thereto

(b) In the absence of a specific commodity rate for the assessment of wharfage, demurrage and storage charges the commodity not specified in this tariff shall be rated as “Cargo, N.O.S.”.

(c) The Executive Director reserves the right to determine the applicable rates, charges, rules and regulations in this tariff; and, to enforce any such rates, charges, rules and regulations in accordance with any such interpretation.

(d) The Executive Director may waive the assessment of all or any portion of any charge for wharfage, dockage, wharf demurrage, wharf storage or any other charge or fee which may be due from any source or cause as provided for in this tariff which may be associated with cargo destined to provide emergency relief which is directly attributable to natural disasters. The cargo must be shipped by and destined to bonafide relief organizations and must not be intended for resale.

COMPLIANCE WITH GOVERNMENT REGULATIONS

Any users of the Port Terminals shall, at their own expense, operate or use the Port premises in a clean, wholesome and sanitary condition. Such operation will be in compliance with any and all present and future laws, ordinances, general rules, or regulations related to sanitation, pollution, public health, safety or welfare. Users will also comply with all applicable laws, rules and regulations adopted by Federal, State, local and other governmental agencies or departments or offices thereof, including without limitation laws, rules and regulations pertaining to air quality, water quality, noise pollution, odor, soil and other environmental regulations.

For explanation of abbreviations and reference marks see Page 10.

ADOPTED: February 16, 1999  EFFECTIVE: April 1, 1999

Correction No. 436
### SECTION II: GENERAL RULES AND REGULATION

#### AUTHORIZATION

The Executive Director is authorized to compile the provisions herein and schedule of rates in the form of a tariff to be known as Tariff No. 2-A of the Port of Oakland and subsequent reissues thereto for the convenience of the shippers as well as other uses of the Port, and to provide for furnishing the same to applicants therefore upon their request.

<table>
<thead>
<tr>
<th>ITEM NO.</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>02115</td>
<td>PENALTY FOR UNAUTHORIZED USE OF PORT FACILITIES</td>
</tr>
<tr>
<td>02120</td>
<td>VIOLATIONS OF ORDINANCE</td>
</tr>
</tbody>
</table>

No person shall collect any toll, wharfage, dockage or other charge, or land ships at or remove any property upon or from any of the wharves, piers, docks landings or other facilities owned or operated by the Board without being authorized by it to do so. Any person, vessel, or its owners, agent or operators using any unassigned wharf or other wharf area without first securing an assignment therefore from the Port shall be subject to penalties as provided in Item 02125.

Any person violating any of the provisions of this ordinance shall be deemed guilty of a misdemeanor and upon conviction thereof shall be punished by a fine of not more than One Thousand Dollars ($1,000.00) or by imprisonment in the city prison for a period of not more than six (6) months, or by both such fine and imprisonment. All the remedies herein provided for shall be non-exclusive, cumulative, and in addition to other remedies at law or in equity.

For explanation of abbreviations and reference marks see Page 10.

ADOPTED: June 11, 2020
EFFECTIVE: July 1, 2020
### SECTION II: GENERAL RULES AND REGULATIONS

#### TERMS AND CONDITIONS OF PAYMENT

<table>
<thead>
<tr>
<th>ITEM NO.</th>
<th>Terms and Conditions of Payment</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a)</td>
<td>Use of Port facilities and/or services is conditioned upon assurance satisfactory to the Executive Director that all applicable charges will be paid when due. Except as otherwise provided, all charges contained in this tariff are due and payable as they are incurred or upon completion of service or use.</td>
</tr>
<tr>
<td>(b)</td>
<td>The Executive Director may require payment of charges in advance as follows:</td>
</tr>
<tr>
<td>(1)</td>
<td>By the vessel, its owners or agents before vessel is assigned a berth and commences its loading or unloading operations.</td>
</tr>
<tr>
<td>(2)</td>
<td>By the cargo owner, shipper, consignee or wharf assignee before cargo leaves off the terminal.</td>
</tr>
<tr>
<td>(3)</td>
<td>For all charges on perishable cargo, household goods and personal effects or cargo of doubtful value.</td>
</tr>
<tr>
<td>(c)</td>
<td>Payment terms are cash unless the Port customer, prior to the use of Port facilities or services, has established credit worthiness satisfactory to the Executive Director or has posted adequate security acceptable to the Executive Director and has thereby been relieved of cash payment requirements by the Executive Director as set forth in Port of Oakland Form MT-150, Standard Application Form for Tariff Assignment and Tariff Assignment.</td>
</tr>
<tr>
<td>(d)</td>
<td>The provisions of this item govern the terms of payment by, and liability of, an agent acting on behalf of a disclosed principal for charges owing from said principal as a user of Port facilities, notwithstanding any other provision to the contrary in this tariff or in any form issued pursuant to this tariff. If written reports required of Assignee or customer are delayed by Assignee or customer, the Port may bill assignee or customer upon the Port’s estimates of accrued tariff revenues.</td>
</tr>
</tbody>
</table>

For explanation of abbreviations and reference marks see Page 10.

ADOPTED: September 20, 1988  EFFECTIVE: November 1, 1988
SECTION II: GENERAL RULES AND REGULATIONS

PAYMENT OF CHARGES

(a) Use of Port terminals, facilities or equipment by any person pursuant to this tariff shall constitute acceptance of the provisions of this tariff and an agreement upon the part of such user that he is responsible for providing statements within the period specified and shall pay all charges assessed in accordance with this tariff.

(b) A vessel agent or other person requesting wharf or crane assignment ("requestor") shall, as part of the assignment process, provide to the extent of his knowledge all information requested by the Port with respect to the vessel’s estimated arrival and departure, amount(s) and type(s) of cargo to be worked (loaded/discharged) and estimate the amount of each category of Port charges, as enumerated, and party responsible therefore. The submission of a form, signed by the requestor, shall constitute the requestor’s attestation as to the accuracy of the information therein supplied, based upon and to the extent of information made available to the requestor at the time of submission; and the Port shall hold requestor personally liable for any loss occasioned by the requestor’s failure to report accurately the information as previously provided to the requestor.

(c) The Wharf Assignee shall be responsible for collection of all dockage, wharfage, crane use, wharf demurrage, wharf storage and any other charges in this tariff made and assessed against a vessel or cargo, and shall guarantee and be liable to the Port for the payment of such charges, whether or not actually collected, within the time required by this tariff. All persons using Port terminals, equipment, facilities or provided services, however, are responsible for payment of charges, including delinquency payments, for said use and services. Such person’s actual and direct payment of a charge or a delinquency payment to the Port shall relieve the Wharf Assignee of his responsibility for collection and payment of such charge or delinquency payment.

(Item 02135 Continued on Next Page)
SECTION II: GENERAL RULES AND REGULATIONS

PAYMENT OF CHARGES

(d) The Executive Director, at his discretion may, unless Wharf Assignee otherwise requests in writing, solely as an accommodation to the Wharf Assignee, provide billing information directly to a Port terminal or facility user, but said accommodation shall in no way negate or modify the Wharf Assignee’s primary responsibility to collect and timely pay to the Port all charges incurred, including delinquency payments.

(e) Upon Wharf Assignee’s payment to Port of all charges assessed against a vessel or cargo, the Port shall be deemed to have assigned to the Wharf Assignee the Port’s lien rights against the vessel and cargo, and the Executive Director, upon request of the Wharf Assignee, shall execute whatever formal documentary evidence of such assignment that the Wharf Assignee may reasonably request.

(f) For the purpose of enforcing the payment of charges named in this tariff, on cargo handled over or stored on Port facilities, the Port may take possession of such cargo and may remove and store the same at the risk and expense of the owner, shipper or consignee thereof, or may sell the goods at public auction or pursue such other remedies as may be provided by law.

(g) Monthly rent, and other pre-determined recurring charges, are due on the first day of each month and will become delinquent as provided in Item 02140. All other charges required to be paid are due thirty (30) days after the date of the Port’s invoice for such payments and will become delinquent as provided in Item 02140.

(h) In the event additional charges are discovered to be due to the Port through audit, additional statements, review of manifests and bills of lading, supplemental billings will be prepared by the Port for such additional charges. Additional charges billed pursuant to this item 02135(h) are due thirty (30) days after the date of the Port’s invoice for such payment and will become delinquent as provided in Item 02140.
SECTION II: GENERAL RULES AND REGULATIONS

DELINQUENCY AND PENALTIES

All charges contained in this tariff shall be subject to a delinquency payment charge as follows:

(a) Monthly rent, and other pre-determined recurring charges, will become delinquent if not received by the Port on or before the tenth (10th) day of each month without regard to whether the Port has issued any notices or invoices, and will be subject to a delinquency charge of 0.06% per day, or the then-current delinquent charge approved by the Board, for each day from the date such payment became due and payable until payment has been received by the Port.

(b) All other charges will become delinquent if not received by the Port on or before the specified due date, and are subject to a delinquency charge of 0.06% per day, or the then-current delinquency charge approved by the Board, for each day from the date such payment became due and payable until payment has been received by the Port.

(c) Delinquency charges may be amended from time to time by the Board. Unpaid delinquency charges that accrue shall be compounded monthly. The delinquency charges provided by this Item 02140 are in addition to all other remedies that the Port may have that are provided for in this Tariff, in any other agreement that may be in place with a Port user, or otherwise set forth by law.

(*)
02140
**SECTION II: GENERAL RULES AND REGULATIONS**

<table>
<thead>
<tr>
<th>ITEM NO.</th>
<th>SECURITY DEPOSIT</th>
</tr>
</thead>
<tbody>
<tr>
<td>(*) 02145</td>
<td>All Port tenants shall maintain a security or performance deposit (referred to herein collectively as “security deposit”). Security deposits are required to be paid to the Port prior to the effective date of the agreement for the use of any Port property and shall be maintained throughout the term of use. For terms of use shorter than 30 days, the security deposit shall be equal to three times the rent. For terms of use of 30 days or longer, the security deposit shall be equal to three months of rent. If the initial term of use is extended, the security deposit will increase commensurate with the term of use. If rent is increased, the security deposit will increase commensurate with rent.</td>
</tr>
</tbody>
</table>

For explanation of abbreviations and reference marks see Page 10.

ADOPTED: June 11, 2020

EFFECTIVE: July 1, 2020

Correction No. 823
<table>
<thead>
<tr>
<th>ITEM NO.</th>
<th>INFURANCE</th>
</tr>
</thead>
<tbody>
<tr>
<td>02150</td>
<td>Rates named herein do not include any form of insurance.</td>
</tr>
</tbody>
</table>

**NON-LIABILITY OF THE PORT FOR LOSS OR DAMAGE**

The Port shall not be liable for loss or damage to any cargo in or upon, or moving or being moved over, in, through, or under any wharf or other structure or property owned, controlled, or operated by the Port, resulting from any cause whatsoever, including the loss or damage which in any manner is caused by or results from the following: pilferage; animals, including rats, mice and other rodents; insects, including moths and weevils; shrinkage; wastage; decay; seepage; leaky containers; heating; evaporation; fire, or extinguishment thereof; explosion; leakage; discharge from fire protection system; dampness; rain; floods; freezing, frost or other action of the elements; collapse of wharves, piers, or other structures; breakdown of plant, machinery or equipment; floats, logs, or piling required to break vessels away from wharves; combinations; sabotage; insurrection; revolution; war; riots; strikes; or any act of God. Nothing herein shall be deemed to relieve the Port from liability for loss or damage to goods or property it may have by law as the result of its own negligence.
### SECTION II: GENERAL RULES AND REGULATIONS

<table>
<thead>
<tr>
<th>Item No.</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>02160</td>
<td><strong>NON-LIABILITY FOR SHIPPER’S FAILURE TO RESERVE SPACE OR CARRIER’S FAILURE TO LOAD GOODS</strong>&lt;br&gt;The Port will not be liable for loss, damage or delay arising from failure of the shipper to arrange for space on the transporting vessel, or from the failure of any carrier to load and transport goods on the particular date of vessel designated by the shipper or owner of such goods. In the event of any such failure, such goods shall be held subject to all of the applicable charges and provisions of this tariff.</td>
</tr>
<tr>
<td>02165</td>
<td><strong>REMOVAL OF PERISHABLE OR UNDESIRABLE CARGO OR MATERIALS</strong>&lt;br&gt;(a) The Executive Director may, at his discretion, cause the removal of perishables, cargo which is liable to damage other cargo, bulky freight or other undesirable cargo or material, with or without notice, to another location within the terminal facility or to private facilities at the risk and expense of the cargo owner.&lt;br&gt;(b) The Assignee under tariff assignment with the Port is responsible for removal of rubbish, dunnage and other waste material from the assigned area. Otherwise, it will be removed by the Port at the expense of the Assignee.</td>
</tr>
<tr>
<td>02170</td>
<td><strong>SHIPPER’S REQUEST AND COMPLAINTS</strong>&lt;br&gt;(a) Requests and complaints from shippers on matters relating to the rates, rules and regulations contained in this tariff must be made to the Executive Director.&lt;br&gt;(b) The Port of Oakland is a member of the California Association of Port Authorities, 1510-14th Street, Sacramento, California 95814. A shipper may refer to the Association any request or complaint not satisfied by the Port of Oakland, by submitting all available data in writing to the Association.</td>
</tr>
</tbody>
</table>
## SECTION II: GENERAL RULES AND REGULATIONS

### ESTIMATED WEIGHTS - PETROLEUM AND PETROLEUM PRODUCTS

When not shipped in containers, and when actual weight or measurement is not shown on the package or on shipping documents, petroleum and petroleum products shall be subject to an estimated weight of 0.791 kilograms per liter (6.6 pounds per gallon), except that crude fuel or gas oil shall be subject to estimated weight of 0.887 kilograms per liter (7.4 pounds per gallon).

### PERSONS ON BOARD VESSELS TO ACT ON ORDERS OF EXECUTIVE DIRECTOR

(a) Vessels must at all times have on board at least one person in charge with authority to take such action in any emergency as may be deemed necessary by the Executive Director.

(b) A vessel must shift or go into the stream at its own expense, when so ordered by the Executive Director who shall have the power to enforce removal at the expense of the vessel.

(c) The master, agent or owner of a vessel refusing or neglecting to obey the orders of the Executive Director in any manner pertaining to the regulation of the harbor, or removal or stationing of such vessel, is guilty of a misdemeanor, and is liable to a fine or imprisonment or both.

### LIGHTS AT NIGHT

All vessels, barges, cranes and other equipment, while anchored, moored or installed at the Port must show lights in accordance with applicable Federal, State and Municipal laws, rules and regulations.

### SPECIAL AND ADDITIONAL SERVICES

(a) When rules and regulations of Federal, State, or local authorities require the Port to provide services in connection with operations of the Port or with cargo at or moving through Port owned or controlled facilities, the Port may arrange for and assess the cost of such services for the account of cargo or terminal operator as deemed appropriate by the Port.

(b) When a person requests special services to be performed, the Director of Maritime or his/her designee may, at his/her discretion, arrange for and assess the cost of such service to the requesting party.
**USE OF PORT-OWNED OR PORT LEASED FACILITIES**

(a) All persons desiring to use Port-owned or Port-leased facilities shall, as far in advance of the date of use as possible, make application, on forms provided by the Port, to the Director of Maritime, or his/her designee, specifying the date of use, nature and quantity of cargo to be handled, the estimated length of use and the nature of use. Such application and subsequent use shall be subject to the applicable rules, terms and conditions of this tariff, Port of Oakland Form MT-150, Standard Application Form for Tariff Assignment and Tariff Assignment and individual terminal agreements as duly filed with the Federal Maritime Commission. Assignee’s use of such assigned facilities and equipment shall constitute acceptance of applicable rates, rules and regulations referenced by this tariff.

(b) Port-owned or Port-leased facilities turned over to Assignee are under Assignee’s supervision, direction and control, and Assignee assumes sole responsibility and liability for injury to or death of any person whomsoever, or damage to or destruction of property, including employees and property of the Port, incident to, arising out of, or caused by Assignee’s possession, use of or operation of handling equipment and shall protect, indemnify and save harmless the Port of Oakland, the Board of Port Commissioners, and their officers and employees from and against any and all suits, claims, demands, loss, expense and liability of any kind or nature whatsoever for said injury to or death of persons or damage to or destruction of property, and that may be, in whole or in part, incident to, arise out of, or be caused, directly or indirectly, through negligence or otherwise, by the Assignee’s possession, use of operation of said handling equipment, whether by Assignee, its officers, agents or employees, or by any person or persons acting with the knowledge and consent, express or implied of Assignee, and the use of Port assigned facilities shall constitute acceptance and acknowledgement by the Assignee of this liability and obligation. In instances in which the Port’s negligence causes or contributes to the cause of any such liability as hereinafore set forth, Assignee’s obligation to exculpate or indemnify and hold harmless the Port pursuant to this item shall be limited to that portion of the liability, on a percentage basis, which is not attributable to the Port’s negligence.

(c) Port-assigned facilities are presumed to be in good physical and operating condition when turned over to the Assignee. However, the Port does not warrant the condition thereof. The Port will not be responsible for delays to vessels or land carriers and or their equipment, nor to cargo caused by breakdown of equipment, by shut-off of electric current or any other causes whatsoever.

(Item 02200 continued on Next Page)
## SECTION II: GENERAL RULES AND REGULATIONS

### USE OF PORT-OWNED OR PORT LEASED FACILITIES

<table>
<thead>
<tr>
<th>ITEM NO.</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>(d)</td>
<td>All facilities must be operated within the rated operating capacity and the premise load limits and restrictions established by the Port’s Chief Engineer or his designee as well as any other conditions which may be prescribed by Federal, State, or local authorities. The Executive Director reserves the right to refuse the handling of any commodity which, in his opinion, exceeds the facility’s prescribed load limits. The Executive Director further reserves the right to order the Assignee to cease use of the premises or stop operations at any time to require repairs, to correct an unsafe condition when, in his opinion, Assignee’s operation of assigned facilities is not in accordance with the terms and conditions of this tariff, the Assignment or whenever it is necessary for the best interests of the Port.</td>
</tr>
<tr>
<td>(e)</td>
<td>Any and all vessels berthed at a Port-owned or Port-leased facility, after completion of loading or discharging may, at the discretion of the Executive Director, be required to vacate the berth whenever another vessel is standing by awaiting the use of the berth. Should any vessel fail to vacate the berth under the above conditions when requested, the Executive Director shall have the right, authority and privilege to order the vessel moved at the vessel’s risk and expense.</td>
</tr>
<tr>
<td>(f)</td>
<td>Unless otherwise provided by prior contractual arrangements with the Port, or by the terms of lease or preferential assignment, the vessel first arriving in Oakland’s Port Area will have first right to use a specified berth, provided that cargo operations will be commenced no later than the first available working day shift upon arrival. Notwithstanding the above, whenever another vessel is standing by, awaiting the use of a berth, the vessel on berth will be requested to continue loading and discharging operations utilizing all available work shifts including overtime shifts as required, at its own expense, until such time as cargo operations have been completed. The vessel will promptly vacate the berth upon completion of cargo operations. Any vessel which refuses to comply with this request will be required to vacate the berth upon order of the Executive Director or his/her designee, provided sufficient water is available to permit safe transit and such vessel will be required to wait until a berth is made available to complete their cargo operations.</td>
</tr>
</tbody>
</table>

For explanation of abbreviations and reference marks see Page 10.

ADOPTED: May 15, 2007  EFFECTIVE: July 1, 2007
USE OF EQUIPMENT ON PORT-OWNED OR LEASED FACILITIES

(a) Assignee is responsible for providing all cargo handling equipment and supplemental equipment which may be required. Assignee will obtain all necessary permits to operate and will conduct an operation in strict compliance with said permits to operate as set forth by the permit authority. Assignee will furnish all labor and operate all equipment in a safe manner and as prescribed by the terms and conditions set forth in this tariff and in compliance with manufacturers’ specifications and applicable Federal, State and local regulations.

(b) All equipment used on Port premises must be operated within the rated operating capacity of the equipment and the premise load limits and restrictions established by the Port’s Chief Engineer or his designee as well as any other conditions which maybe prescribed by Federal, State or local authorities. The Executive Director further reserves the right to order the Assignee to cease use of the premises or equipment or stop operations at any time to require repairs, to correct an unsafe condition when, in his opinion, Assignee’s operation of such equipment is not in accordance with the terms and conditions of this tariff, the assignment or whenever it is necessary for the best interests of the Port.

(c) When circumstances warrant, the Executive Director may authorize Assignee to use Port-owned or leased equipment including, but not limited to transformers, trailers, light sets, generators, portable reefer units and ramps. Such Port equipment is presumed to be in good physical and operating condition when turned over to the Assignee. However, the Port does not warrant the condition thereof. The Port will not be responsible for delays to vessels or land carriers and or their equipment, nor to cargo caused by breakdown of equipment, by shut-off of electric current or any other causes whatsoever.

(d) Assignee shall, in the use of Port owned equipment, conduct its operation expeditiously and shall cease use and return the equipment, in like condition, to the control of the Port without delay upon the conclusion of the equipment’s authorized use.
USE OF EQUIPMENT ON PORT-OWNED OR LEASED FACILITIES

(e) Port equipment turned over to Assignee is under Assignee’s supervision, direction and control, and Assignee assumes sole responsibility and liability for injury or death of any person whomsoever, or damage to or destruction of property, including employees and property of the Port, incident to, arising out of, or caused by Assignee’s possession, use or operation of handling equipment and shall protect, indemnify and save harmless the Port of Oakland, the Board of Port Commissioners, and their officers and employees from and against any and all suits, claims, demands, loss, expense and liability of any kind or nature whatsoever for said injury to or death of persons or damage to or destruction of property, that may be, in whole or in part, incident to, arise out of, or be caused, directly or indirectly, through negligence or otherwise, by the Assignee’s possession, use of operation of said handling equipment, whether by Assignee, its officers agents, employees, or by any person or persons acting with the knowledge and consent, express or implied of Assignee, and the use of such assigned equipment shall constitute acceptance and acknowledgement by the Assignee of this liability and obligation. In instances in which the Port’s negligence causes or contributes to the cause of any such liability as hereinabove set forth, Assignee’s obligation to exculpate or indemnify and hold harmless the Port pursuant to this item shall be limited to that portion of the liability, on a percentage basis, which is not attributable to the Port’s negligence.
<table>
<thead>
<tr>
<th>ITEM NO.</th>
<th>HANDLING, STORAGE AND USE OF TOXIC MATERIALS</th>
</tr>
</thead>
<tbody>
<tr>
<td>02210</td>
<td>Assignee shall comply with Port of Oakland Environmental Ordinance No. 4345 and Port of Oakland Storm Water Ordinance No. 4311 as said ordinances may be amended from time-to-time. [The remainder of item 02210 is also hereby deleted.]</td>
</tr>
</tbody>
</table>

For explanation of abbreviations and reference marks see Page 10.

ADOPTED: May 18, 2017

EFFECTIVE: July 1, 2017
SECTION II: GENERAL RULES AND REGULATIONS

BALLAST WATER

(a) No vessel using Port terminal facilities shall discharge water ballast from the vessel into San Francisco Bay or the Gulf of the Farallones National Marine Sanctuary offshore of San Francisco Bay, including open waters within the Port Area of the city of Oakland, unless the vessel immediately before arrival in San Francisco Bay has carried out an ocean ballast water exchange to limit the possibility of transferring non-indigenous species into San Francisco Bay. Exchange shall occur in the ocean westerly of the western boundaries of established marine sanctuaries adjacent to the West Coast of California.

(b) The following shall be exceptions to the subsection (a) above:

(1) Vessels arriving from ports located between the southern boundary of Baja California and the northern boundary of Alaska, if the ballast water to be discharged originated from those waters;

(2) Vessels for which satisfactory proof is submitted to the Port of implementation of approaches to control the introduction of non-indigenous species as described in International Maritime Organization Resolution A.868 (20): Guidelines for the Control and Management of Ships’ Ballast Water to Minimize the Transfer of Harmful Organisms and Pathogens.

(3) Where ocean exchange was not made because of stress of weather or stability or hull stress concerns.

(c) Each operator or owner of a vessel using Port terminal facilities during any calendar year shall provide to the Port by December 31 of that calendar year a copy of the operator’s or owner’s then-prevailing policy or policies applicable to ballast water management of its vessels.

(d) Records of ballast water practices shall be provided to the Port at or before the time of each vessel call on a Ballast Water Reporting Form prescribed from time to time by the Executive Director of the Port. Confirmation of receipt is required. The Ballast Water Reporting Form must show the details of ocean exchange and the details of actual or planned discharge within the San Francisco Bay and the Gulf of the Farallones National Marine Sanctuary, including:

(1) Latitude and Longitude or Port where ballast water was originally taken;

(2) Latitude and Longitude of ocean ballast water exchange;

(Item 02215 continued on Next Page)
SECTION II: GENERAL RULES AND REGULATIONS

BALLAST WATER

(3) Total ballast water capacity of the ship, total amount of ballast water carried on board, total amount of ballast water exchanged in the ocean, and total amount of ballast water discharged or to be discharged in San Francisco or the Gulf of the Farallones National Marine Sanctuary;

(4) Identification of ballast tanks which have had water exchanged;

(5) If ballast water was not exchanged in the ocean because of stress of weather, or vessel stability or hull stress concerns, the facts supporting such reason (s); and

(6) If there are any differences between actual ballast water discharges and the discharge information reported, the operator or owner of a vessel shall provide an amendment to the Ballast Water Reporting form prior to departing San Francisco Bay.

If the Ballast Water Reporting Form containing the required information is not provided to the Port, no ballast water from the vessel may be discharged into San Francisco Bay or the Gulf of the Farallones National Marine Sanctuary, including open waters within the Port Area, until the ballast water is sampled and analyzed at the cost of the vessel operator, and the Executive Director of the Port determines that the ballast water meets Port test standards. Port test standards shall be prescribed from time to time by the Executive Director of the Port to reduce the risk of introduction of exotic species contained in ballast water into San Francisco Bay.

(e) The provisions of subsection (d) above that prohibit certain discharges of ballast water if the required Ballast Water Reporting Form is not provided to the Port shall not apply until August 1, 2000, in order to allow for adequate dissemination and observation of this item.

(f) Effective upon the date that the Port is no longer required by regulatory permits to apply Sections (a) through (e) of this Item, or Section (g) of this Item, as the case may be, Sections (a) through (e), or Section (g), as the case may be, shall not be applicable unless and until the Board of Port Commissioners by ordinance determines that Chapter 491, California Statutes of 2003, or other State or Federal legal requirements at least as effective as Sections (a) through (e), or Section (g), as the case may be, in protecting the environment from the potential impacts of discharge of ballast water, do not apply to vessels using Port terminal facilities.

(Item 02215 continued on Next Page)
SECTION II: GENERAL RULES AND REGULATIONS

BALLAST WATER

(g) Subject to such exceptions or waivers as may be determined appropriate from time-to-time by the Executive Director, any vessel using Port terminal facilities shall provide reports to the Port, prior to vessel departure from terminal facilities, regarding the discharge of ballast water into San Francisco Bay or the Gulf of the Farallones National Marine Sanctuary that originates from within the U.S. Exclusive Economic Zone (EEZ) of the U.S. Mainland west coast, whether or not the vessel is carrying or has discharged ballast water that originates within the EEZ. Such reports shall be provided to the Port on forms prescribed from time to time by the Executive Director.

SECURITY OF MARITIME FACILITIES

(a) Purposes

The purposes of this Item No. 02220 are to provide for efficient, coordinated and effective action in order to reduce the risk and to mitigate the results of an act that threatens the security of personnel, the Port’s facilities, private property and the public, to comply with requirements mandated by the Federal Maritime Transportation Security Act of 2002 ("MTSA") and the federal regulations implementing the MTSA ("MTSA Regulations") and to set forth the respective rights and obligations as between the Port and Port assignees, tenants, permittees, contractors and operators on Port facilities (collectively "Operators", each an “Operator”) with respect to the MTSA and the MTSA Regulations.

(Item 02220 continued on Next Page)

(*) Item 02215 on this page transferred from 1st revised page 37M

For explanation of abbreviations and reference marks see Page 10.


Correction No. 565
SECURITY OF MARITIME FACILITIES

(b) Port Facility Security Plan

The Executive Director is hereby authorized and directed to cause to be prepared and to approve a Port Facility Security Plan in accordance with this Item No. 02220 covering all Port of Oakland facilities for which a facility security plan is required by the MTSA and the MTSA Regulations and for which an Operator Facility Security Plan is not prepared by an Operator and approved by the appropriate Federal agency designated in the MTSA and the MTSA Regulations ("USA"). The Port Facilities Security Plan shall comply with the requirements of applicable law, including but not limited to the MTSA, the MTSA Regulations and this Item No. 02220.

(c) Designation of Port Facility Security Officer

The Executive Director is hereby authorized and directed to designate a person as Port Facility Security Officer ("PFSO") on behalf of the Port. The designated PFSO shall be qualified as, and shall have the duties of, a facility security officer under the MTSA and the MTSA regulations with respect to those maritime terminal facilities for which the Port, instead of an Operator, prepares a facility security plan. The PFSO shall also be responsible on behalf of the Port for coordinating and maintaining communications with Operators and the USA regarding the interests of the Port in the security of all Port facilities without relieving third parties, including Port Operators or other persons of their responsibilities under any facility security plan, the MTSA, the MTSA Regulations, this Tariff or any other law, regulation or any contact.

(Item 02220 continued on Next Page)
SECURITY OF MARITIME FACILITIES

(c) Designation of Port Facility Security Officer

The Executive Director’s designation of a PFSO also shall not relieve any owner or Operator of any Port facility from any requirement for that owner or Operator to designate a qualified person to perform the duties of facility security officer under the MTSA or the MTSA Regulations. The PFSO shall be responsible for: preparing, in accordance with the MTSA and the MTSA Regulations, a Port Facility Security Assessment for inclusion in the Port Facility Security Plan and a Port Facility Plan, for all Port facilities for which an Operator Facility Security Plan is not prepared and approved by the USA which the PFSO shall submit to the Executive Director for approval; submitting the Port Security Plan to the USA for approval after approval of the Port Security Facility Plan by the Executive Director; implementing the Port Security Plan; periodically auditing and updating the Port Security Facility Assessment and the Port Facility Security Plan as required by the MTSA and the MTSA Regulations; assuring that adequate training is provided to Port personnel responsible for Port facilities; ensuring that Port Facilities are operated in accordance with the applicable provisions of the Port Facility Security Plan and the provisions of the MTSA and the MTSA Regulations; coordinating and communicating with Operators with respect to Operator Facility Security Plans in accordance with this Item No. 02220; and such other related Port Facility security matters as the Executive Director may assign the PFSO from time to time.

(Item 02220 continued on Next Page)
SECURITY OF MARITIME FACILITIES

(d) Operator Facility Security Plans

(1) Subject to an exemption made in accordance with the immediately succeeding Paragraph (d)(1)(i) below, every Operator of a facility on Port-owned or controlled land for which a facility security plan or an amended facility security plan is required by the MTSA or the MTSA Regulations, shall prepare and have approved by the USA in accordance with the MTSA and the MTSA Regulations, an Operator Facility Security Plan, or an amended facility security plan, respectively, for the facility.

(i) Upon a written request by an Operator, the PFSO may exempt an Operator from the requirement to prepare an Operator Facility Security Plan if the PFSO reasonably determines in writing that exemption is appropriate in consideration of the nature and character of the Operator’s activities or Port facilities and other reasonable factors. The PFSO may grant an exemption subject to such reasonable written terms and conditions as the PFSO determines are appropriate.

(ii) Subject to any application exemption made in accordance with the immediately preceding paragraph (d)(1)(i), each person who is an Operator of a Port facility as of the effective date of this Item No. 02220 shall submit its Operator Facility Security Plan to the USA by December 31, 2003, or such later date as may be approved in writing by the PFSO.

(Item 02220 continued on Next Page)
SECURITY OF MARITIME FACILITIES

(d) Operator Facility Security Plans

(iii) Subject to any applicable exemption made in accordance with paragraph (d)(1)(i) above, no person may become an Operator of any Port Facility after December 29, 2003, unless the Operator shall have complied with the applicable provisions of this Item No. 02220, the MTSA and the MTSA Regulations regarding a Facility Security Plan for the Port facility.

(2) Each Operator shall provide to the PFSO:

(i) Written notice of the Operator’s submission to the USA of any Operator Facility Security Plan, and any amendment to any Operator Facility Security Plan, relating to any Port facility, contemporaneously with the Operator’s submission to the USA. The Operator’s written notice to the Port may be a copy of the Operator’s written document transmitting the Operator Facility Security Plan, or amendment, to the USA, but the Operator is not required to include the Operator Facility Security Plan, or amendment, except as provided in Paragraph (d)(3) below.

(ii) A copy of all other written communications between the Operator (including any person acting on behalf of the Operator) and the USA, regarding an Operator Facility Security Plan, any amendment to any Operator Facility Security Plan, any approval or disapproval by the USA or any Operator Facility Security Plan or amendment thereto, any report of a breach of security or security incidents, and any notice by the USA of a violation or suspected violation of the MTSA or the MTSA Regulations, relating to any Port facility.

(Item 02220 continued on Next Page)
SECURITY OF MARITIME FACILITIES

(d) Operator Facility Security Plans

The copy of each written communication shall be provided to the Port either contemporaneously with the transmission of the written communication to the USA or the Operator or promptly after the Operator sends or receives the written communication. To the extent the written communication involves sensitive security information that must be protected in accordance with 49 Code of Federal Regulations Part 1520, the Operator may delete that information from the copy provided to the Port, but the Operator shall provide to the Port all other information in the communication.

(3) Subject to the immediately succeeding sentence, an Operator may not include in any Operator Facility Security Plan, or amendment thereto, any provision which is inconsistent with this Tariff or with the Operator’s agreement with the Port for the Operator’s use or occupancy of a Port facility, or which represents that the Port will be responsible for any matter with respect to the Operator Facility Security Plan, or amendment thereto, unless the Port in the Operator’s agreement with the Port or in this Tariff has expressly assumed responsibility for such matter. If the Operator believes that the MTSA, the MTSA Regulations, or other statute or regulation by operation of law conflicts with and supersedes certain provisions of the Operator’s agreement with the Port or of this Tariff, and require that the Operator Facility Security Plan, or amendment thereto, include provisions which are inconsistent with the Operator’s agreement with the Port or with this Tariff, or impose responsibility on the Port for any matter with respect to the Operator Facility Security Plan, or amendment thereto, notwithstanding that the Port does not expressly assume such responsibility in the Operator’s agreement with the Port or in this Tariff, then, before the Operator Facility Security Plan, or amendment thereto, is submitted to the USA, the Operator shall:

(Item 02220 continued on Next Page)
SECURITY OF MARITIME FACILITIES

(d) Operator Facility Security Plans

(i) Give written notice to the PFSO which identifies in detail each conflict the Operator believes exist and each matter for which the Operator believes the Port is required to assume responsibility, the provisions of the MTSA Regulations, or other statute or regulation, and the provisions of the Operator’s agreement with the Port or this Tariff which the Operator believes are in conflict or require the Port to assume responsibility and why the Operator believes the claimed conflict or Port responsibility requires the Operator Facility Plan, or amendment thereto, to include provisions that are inconsistent with the Operator’s agreement with the Port or this Tariff or provide that the Port has responsibility; and

(ii) Meet and confer with the PFSO to discuss the matter and seek to develop a resolution mutually acceptable to the Operator and the Port. If a mutually acceptable resolution is not reached, then the Operator may submit the Operator Facility Security Plan, or amendment thereto, to the USA for approval, with the provisions therein which are inconsistent with the Operator’s agreement with the Port or this Tariff, or which provide for Port responsibility, without prejudice to any Port rights with respect to the Operator submitting an Operator Facility Security Plan, or amendment thereto, which is inconsistent with the Operator’s agreement with the Port or this Tariff, or which provides for Port responsibility, and the Operator shall, at the same time it submits its Operator Facility Security Plan, or amendment thereto, to the USA, submit to the Port a copy of those portions of the Plan or amendment which conflict with the Operator’s agreement with the Port or this Tariff or which provide that the Port is responsible for a matter.

(Item 02220 continued on Next Page)
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<th>ITEM NO.</th>
<th>SECURITY OF MARITIME FACILITIES</th>
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<tbody>
<tr>
<td>02220</td>
<td>(d) Operator Facility Security Plans</td>
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<td>(4) Each Operator shall comply with all provisions of the MTSA, the MTSA Regulations, this Tariff, the Port Facility Security Plan approved by the USA (to the extent the Operator has been provided the Port Facility Security Plan or notice of the applicable compliance requirement(s) in the Plan) and, subject to paragraph (d)(1)(i) and (d)(3)(ii)) above, the Operator’s Facility Security Plan, which apply to any Port facility occupied or used by the Operator.</td>
</tr>
<tr>
<td></td>
<td>(e) Compliance With Facility Security Plans</td>
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<td></td>
<td>Each person entering upon or using any Port facility shall comply with the Port Facility Security Plan and any Operator Facility Security Plan that applies to the facility (to the extent such person has been provided such plans or notice of the applicable compliance requirement(s) in such plans).</td>
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<tr>
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<td>(f) Indemnification</td>
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<td>Each Operator who operates at a Port facility for which the Operator has obtained from the USA approval of an Operator Facility Security Plan shall be fully responsible for all compliance with the MTSA and the MTSA Regulations with respect to the Port facility and shall indemnify and hold the Port harmless from and against claim, costs, losses and liabilities, including attorney’s fees and costs of defense, arising out of any violation of the MTSA or the MTSA Regulations, arising out of the Operator’s failure to comply with its Operator Facility Security Plan.</td>
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</table>

For explanation of abbreviations and reference marks see Page 10.
SECURITY OF MARITIME FACILITIES

Each Operator and other person entering upon or using any Port facility shall indemnify and hold the Port Harmless from and against all claims, costs, losses and liabilities, including attorney’s fees and costs of defense, arising out of, respectively, the Operator’s or such other person’s failure to comply with this Item No. 02220. In the event of any inconsistency between this Paragraph (f) and an agreement between the Port and an Operator, the agreement shall prevail.

For purposes of this Paragraph (f), and with respect solely to the Port’s rights as to the USA, the USA shall not be considered an “Operator” or “other person”, but the Port does not release the USA or waive the Port’s rights with respect to the USA as to any legal obligation of the USA to the Port or the Port’s legal rights against the USA.

(g) Operator’s Grant of Occupancy Rights to USA

(1) Notwithstanding any other provision in a Port agreement with an Operator for the Operator’s use of Port terminal facilities, the Operator may grant to the USA the right to occupy or use the terminal facilities for purposes of carrying out USA security and inspection functions necessary for the Operator’s use of the facilities, subject to: (i) providing a copy of the grant of the right to the Port and securing the written consent of the Executive Director to the grant, which consent will not unreasonably be withheld or delayed; and (ii) compliance with all other applicable provisions of the terminal facilities agreement between the Port and the Operator and other legal requirements, including without limitation, securing any necessary building permits from the Port.

(Item 02220 continued on Next Page)
SECURITY OF MARITIME FACILITIES

(g) Operator’s Grant of Occupancy Rights to USA

(2) With the Executive Director’s consent, the USA’s occupancy rights may continue beyond termination of the Port’s terminal facilities agreement with the Operator, subject to the right of the Executive Director to terminate the rights upon 30 days written notice to the USA.

(3) Subject to the written approval of both the Board and the Operator, the Port through its own forces or contractors may construct and install necessary security facilities at the Operator’s Port terminal facilities for purposes of the USA’s security and inspection functions.

(h) Aircraft Landing and Take Off

No person shall land or take off in any aircraft (including without limitation helicopters, seaplanes, and ultralight vehicles) nor land or takeoff any model aircraft or civil unmanned aircraft system ("UAS"), as those terms are defined in Public Law 112-95, Sections 331 (9) and 336, and 14 CFR Part 107, in the Port Area (including water areas) without having obtained prior written permission from the Port’s Director of Maritime or his/her designee. This authorization does not include requirements of other agencies. Obtaining approval and/or permits from other agencies (such as Federal Aviation Administration, U.S. Coast Guard, etc.) is the sole responsibility of the owner/operator.

(Item 02220 continued on Next Page)
SECURITY OF MARITIME FACILITIES

(h) Aircraft Landing and Take Off

Use of any aircraft or UAS as defined in this Item 02220(h) at Middle Harbor Shoreline Park is strictly prohibited without prior written permission of both the Port’s Director of Maritime and the Port’s Director of Social Responsibility or his/her designees, which may be granted only in conjunction with a Film Permit Request. Also reference Item 11205.

No person shall operate a model aircraft or UAS in the Port Area in a careless or reckless manner that may endanger the life or property of another or allow an object to be dropped from a small unmanned aircraft UAS.

(i) Security Guard Fees

United States Government regulations require that trained security guards ("Security Guards") be posted in the restricted areas encompassing the dock for the entire period during which a vessel subject to such regulations is berthed at such dock. Where the Port is providing such Security Guards, the cost of such Security Guards ("Security Guard Fees") is for the account of the vessel’s owner(s), and additional to all other applicable charges in this Tariff. Security Guard Fees plus an administrative fee of ten percent (10%) are due and payable upon presentation, and payment must be made before the vessel departs the Port facility unless the vessel’s owner(s) or agent has (have) prearranged terms of payment with the Port.
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For explanation of abbreviations and reference marks see Page 10.

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EFFECTIVE: July 1, 2019
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For explanation of abbreviations and reference marks see Page 10.

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**SECTION II: GENERAL RULES AND REGULATIONS**

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<th>ITEM NO.</th>
<th>COMPREHENSIVE TRUCK MANAGEMENT PLAN (CTMP)</th>
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<td>02400 (+)</td>
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Definition of terms used in Items 02405, 02410 and 02415 are contained in this Item 02400 and elsewhere in this Tariff. The following definitions shall apply to Items 02405, 02410 and 02415 unless otherwise provided herein or otherwise reasonably required given the context in which a term is used in those Items. In the event of any inconsistency between the definition given in this Item for a capitalized term, and the definition given elsewhere in this Tariff for that term, the definition given in this Item shall control for purposes of interpreting Items 02405, 02410 and 02415 hereinbelow. All capitalized terms not otherwise defined in this Item 02400 shall have the meaning given in Section I or elsewhere in this Tariff.

**"CARB"**: California Air Resources Board

**"CARB Drayage Truck Regulation"**: Refers to Title 13 of the California Code of Regulations section 2027.


**"Drayage Truck"**: Any in-use on-road diesel-fueled heavy-duty truck with a gross vehicle weight rating (GVWR) more than 33,000 pounds used to transport waterborne cargo, empty containers, empty chassis, or other equipment used to transport waterborne cargo to or from or within Seaport facilities. This definition excludes yard trucks/hostlers and military vehicles.

For additional information, please consult Title 13 of the California Code of Regulations section 2027.

**"LMC" or "Licensed Motor Carrier"**: A trucking company that hires or contracts with drivers and that dispatches those drivers and drayage trucks to transport waterborne cargo to or from Seaport facilities. Such companies are typically distinguished by possession of an interchange agreement with the owner of the container/chassis equipment.

**"Marine Terminal"**: A facility on Port property with water access used for the transfer of cargo from one mode to another, including container terminals, break-bulk terminals, and dry-bulk terminals.

**"Marine Terminal Operator"**: An entity with contractual authority from the Port of Oakland to operate or with preferential access to a Marine Terminal.

(Item 02400 continued on Next Page)
**Definitions:**

"Seaport Facility": Includes Marine Terminals, rail yard, and other facilities where drayage trucks operate in the Port of Oakland Seaport. For the purposes of this section, this definition does not include any railyard located off Port property, truck parking or maintenance facilities.

"Seaport Facility Operator": An entity that operates and maintains a Seaport Facility on Port property.

"STEP Agreement": The Secure Truck Enrollment Program Agreement that each LMC that dispatches drayage trucks that transport waterborne cargo to or from Seaport Facilities must submit annually.

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### COMPREHENSIVE TRUCK MANAGEMENT PROGRAM (CTMP)

**Port Registry:**

1. Overview

The Oakland Board of Port Commissioners adopted the Maritime Comprehensive Truck Management Program ("CTMP") on June 16, 2009. One core component of the CTMP is the Port Registry, a component designed to increase the Port’s maritime security operations and domain awareness. The Port Registry has two elements: the STEP Agreement and the Port Registry database. The Port Registry is for security, domain-awareness purposes and is separate from and independent of the CARB requirements and regulations and the Port’s truck ban.

2. Implementation Timeline and Applicable Deadlines

**Phase 1: October 1, 2009 - January 1, 2010**

- LMCs are required to execute a STEP Agreement with the Port of Oakland. (Note: LMCs new to the Port after January 1, 2010 must immediately execute the STEP Agreement.)
- No specific action is required by Seaport Facility Operators under the Port Registry during this time period.

(Item 02405 continued on Next Page)
PORT OF OAKLAND
TARIFF NO. 2-A

SECTION II: GENERAL RULES AND REGULATIONS

* Provisions of Item 02405 continued from Page 37AJ

COMPREHENSIVE TRUCK MANAGEMENT PROGRAM (CTMP)

Port Registry:

Phase 2: January 1, 2010 – April 1, 2010
- LMCs are required to enter information into a Port Registry database during this time period.
- The Port will issue STEP registration labels and certificates to registered LMCs.
- Seaport Facility Operators should develop plans for fulfilling their responsibilities in implementing the Port Registry.

Phase 3: April 1, 2010 – June 1, 2010
- All Seaport Facility Operators should determine how they will address Port Registry compliance, and how they will handle non-STEP registered trucks.
- Seaport Facility Operators must submit their plans for fulfilling their responsibilities for implementing the Port Registry to the Port’s Chief Wharfinger by April 15, 2010.

Phase 3A: Effective June 1, 2010
- All Drayage Trucks seeking to enter a Seaport Facility will be required to demonstrate proof of STEP registration.
- The Seaport Facility Operator can verify STEP compliance by visual observation of an affixed STEP registration label, inspection of a STEP registration certificate for the truck or other evidence that, in the judgment of the Seaport Facility Operator, adequately demonstrates STEP registration.
- Drayage Trucks that are not able to demonstrate STEP registration may be either turned away or directed to the Port’s Customer Service Center by the Seaport Facility Operator. At the Customer Service Center, the Port may issue a date and time-stamped Temporary STEP Pass to enable the truck to enter the Seaport Facility. Seaport Facility Operators shall permit a Drayage Truck with a Temporary STEP Pass to enter the Seaport Facility only on the date of issue of the Temporary STEP Pass. (Note: No more than 10 Temporary STEP Passes for any one driver and truck will be issued in any given calendar year, pro-rated to 5 Temporary Step Passes for the period June 1, 2010 through December 31, 2010.)

(Item 02405 continued on Next Page)
COMPREHENSIVE TRUCK MANAGEMENT PROGRAM (CTMP)

Port Registry:

If a Seaport Facility Operator permits Drayage Trucks that cannot demonstrate STEP registration to enter the Seaport Facility between June 1, 2010 and December 31, 2010, the Seaport Facility Operator shall compile the following information in a "Non-STEP Registered Truck Log":

- Truck Entry Date and Time;
- Business and Contact Name of Dispatching Motor Carrier;
- Bill of Lading or Tracking Number;
- Truck operator’s name and license number; and
- Truck’s license plate number, state of issuance and vehicle identification number (VIN)

The Log must be continuously updated and provided to the Port’s Chief Wharfinger within seven (7) business days of the end of the reporting periods ending August 31, 2010, and December 31, 2010.

Phase 4: Effective January 1, 2011

- No Marine Terminal Operator shall permit a Drayage Truck that is not STEP-registered to enter a Marine Terminal. Proof of STEP registration will be by the same means as in Phase 3A. All trucks that are turned away may be directed to the Port’s Customer Service Center.
- All other Seaport Facility Operators may either turn away or grant entry to non-STEP registered trucks. If a truck is granted entry, the Seaport Facility Operator must record and report information in the Non-STEP-Registered Truck Log and report this information to the Port on a quarterly basis, specifically within seven (7) business days of the end of the months of March, June, September and December. All trucks that are turned away may be directed to the Port’s Customer Service Center.
**SECTION II: GENERAL RULES AND REGULATIONS**

* Provisions of Item 02405 continued from Page 37AL

**COMPREHENSIVE TRUCK MANAGEMENT PROGRAM (CTMP)**

**Phase 5 - Effective July 1, 2018**

**Marine Terminals**

- No Marine Terminal Operator shall permit a Drayage Truck that is not STEP-registered to enter a Marine Terminal. Proof of STEP registration will be by visual inspection of an affixed STEP registration label, inspection of a STEP registration certificate for the Drayage Truck or other evidence that, in the judgement of the Marine Terminal Operator, adequately demonstrates STEP registration.

- Drayage Trucks that are not able to demonstrate STEP registration to enter a Marine Terminal may be turned away and/or directed to the Port’s Customer Service Center by the Marine Terminal Operator. At the Port’s Customer Service Center, the Port may issue a date and time-stamped Temporary STEP Pass to enable the truck to enter the Marine Terminal. Marine Terminal Operators shall permit a Drayage Truck with a Temporary STEP Pass to enter the Marine Terminal only on the date of issue of the Temporary STEP Pass. No more than five (5) Temporary STEP Passes for any one driver and/or truck will be issued from the effective date of Phase 5 to the effective date of Phase 6.

- Drayage Trucks that are not able to demonstrate STEP registration to enter a Marine Terminal outside of the operating hours of the Customer Service Center (e.g., weekends or nights), may be granted entry into a Marine Terminal, in which case the Marine Terminal Operator must record the Drayage Truck and Drayage Truck driver information in the Non-STEP-Registered Drayage Truck Log, and report this information to the Port’s Chief Wharfinger and Port Facilities Security Officer on a quarterly basis, specifically within seven (7) business days of the end of the month of March, June, September, and December. No more than five (5) such “off hours” entries for any one driver and/or truck will be allowed from the effective date of Phase 5 to the effective date of Phase 6.

**Other (Non-Marine Terminal) Seaport Facilities**

- All other Seaport Facility Operators may either turn away or grant entry to non-STEP registered Drayage Trucks. If a Drayage Truck is granted entry, the Seaport Facility Operator must record and report information in the Non-STEP-Registered Drayage Truck Log and report this information to the Port’s Chief Wharfinger and Port Facilities Security Officer on a quarterly basis, specifically within seven (7) business days of the end of the month of March, June, September, and December. All Drayage Trucks that are turned away may be directed to the Port’s Customer Service Center.

For explanation of abbreviations and reference marks see Page 10.

ADOPTED: March 22, 2018

EFFECTIVE: July 1, 2018
SECTION II: GENERAL RULES AND REGULATIONS

* Provisions of Item 02405 continued from Page 37ALi

COMPREHENSIVE TRUCK MANAGEMENT PROGRAM (CTMP)

Phase 6 - Effective January 1, 2019

Marine Terminals

- No Marine Terminal Operator shall permit a Drayage Truck that is not STEP-registered to enter a Marine Terminal. Proof of STEP registration will be by a Radio Frequency Identification “RFID” tag for each Drayage Truck that is linked to the Port Registry.

- In the event a Drayage Truck RFID tag is unable to be read by the Marine Terminal Operator upon attempted entry, or the Drayage Truck is not equipped with an RFID tag at the time of desired entry, the Marine Terminal Operator may validate STEP compliance by visual inspection of an affixed STEP registration label, inspection of a STEP registration certificate for the Drayage Truck or other evidence that, in the judgement of the Marine Terminal Operator, adequately demonstrates STEP registration.

Other (Non-Marine Terminal) Seaport Facilities

- No other Seaport Facility Operator shall permit a Drayage Truck that is not STEP-registered to enter a Seaport Facility. The Seaport Facility Operator can verify STEP compliance by visual inspection of an affixed STEP registration label, inspection of a STEP registration certificate for the Drayage Truck or other evidence that, in the judgement of the Seaport Facility Operator, adequately demonstrates STEP registration.

All Seaport Facilities

- Drayage Trucks that are not able to demonstrate STEP registration may be turned away and/or directed to the Port’s Customer Service Center by the Seaport Facility Operator. At the Port’s Customer Service Center, the Port may issue a date and time-stamped Temporary STEP Pass to enable the truck to enter the Seaport Facility. Seaport Facility Operators shall permit a Drayage Truck with a Temporary STEP Pass to enter the Seaport Facility only on the date of issue of the Temporary STEP Pass. No more than five (5) Temporary STEP Passes for any one driver and/or truck will be issued from the effective date of Phase 6.

- Drayage Trucks that are not able to demonstrate STEP registration outside of the operating hours of the Customer Service Center (e.g., weekends or nights), may be granted entry into a Seaport Facility, in which case the Seaport Facility Operator must record the Drayage Truck and Drayage Truck driver information in the Non-STEP-Registered Drayage Truck Log, and report this information to the Port’s Chief Wharfinger and Port Facilities Security Officer on a quarterly basis, specifically within seven (7) business days of the end of the month of March, June, September, and December. No more than five (5) such entries for any one driver and/or truck will be allowed from the effective date of Phase 6.

For explanation of abbreviations and reference marks see Page 10.
### COMPREHENSIVE TRUCK MANAGEMENT PROGRAM

**Port Registry:**

#### 3. Port Registry Fees

Starting with registrations for calendar year 2020, registrations will be subject to the following Port Registry Fees:

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<thead>
<tr>
<th>Fee Description</th>
<th>Fee Amount</th>
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<tbody>
<tr>
<td>One-time Port Registry Fee</td>
<td>$250 per LMC</td>
</tr>
<tr>
<td>Annual Drayage Truck Registration Fee</td>
<td>$60 per Drayage Truck</td>
</tr>
<tr>
<td>Temporary STEP Pass Fee</td>
<td>$25 per Drayage Truck</td>
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Registry Fees shall be due and payable at the time of registration or request for a STEP Pass. A registration year is January 1 through December 31. Port Registry Fees shall not be prorated.
COMPREHENSIVE TRUCK MANAGEMENT PROGRAM (CTMP)

Drayage Truck Ban:

January 1, 2010 - Drayage Truck Deadline
Consistent with and in support of CARB regulation, beginning January 1, 2010, at 12:01 a.m., Seaport Facility operators shall permit access into Seaport Facilities in the Port of Oakland only to a Drayage Truck that is compliant with the CARB regulations, as follows, unless the Drayage Truck has been exempted, or issued a waiver or extension by CARB, or issued a Port Temporary Non-Compliance Pass:

(i) a 1994 - 2003 model year engine certified to California or federal emission standards, and a level 3 Verified Diesel Emission Control Strategy (“VDECS”) for PM emissions; or

(ii) a 2004 or newer model year engine certified to California or federal emission standards; or

(iii) a 1994 or newer model year engine that meets or exceeds 2007 model year California or federal emissions standards.

January 1, 2012 Drayage Truck Deadline
Consistent with and in support of the CARB regulation, beginning January 1, 2012, at 12:01 a.m., Seaport Facility operators shall permit access into Seaport Facilities in the Port of Oakland only to a Drayage Truck that is compliant with the CARB regulation, as follows, unless the Drayage Truck has been exempted, or issued a waiver or extension by CARB, or issued a Port Temporary Non-Compliance Pass:

(i) a 1994 - 2004 model year engine certified to California or federal emission standards, and a level 3 Verified Diesel Emission Control Strategy (VDECS) for PM emissions; or

(ii) a 2005 or newer model year engine certified to California or federal emission standards; or

(iii) a 1994 or newer model year engine that meets or exceeds 2007 model year California or federal emissions standards.

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SECTION II: GENERAL RULES AND REGULATIONS

* Provisions of Item 02410 continue from Page 37AM

COMPREHENSIVE TRUCK MANAGEMENT PROGRAM (CTMP)

Drayage Truck Ban:

January 1, 2013 Drayage Truck Deadline
Consistent with and in support of the CARB regulation, beginning January 1, 2013, at 12:01 a.m., Seaport Facility operators shall permit access into Seaport Facilities in the Port of Oakland only to a Drayage Truck that is compliant with the CARB regulation, as follows, unless the Drayage Truck has been exempted, or issued a waiver or extension by CARB, or issued a Port Temporary Non-Compliance Pass:

(i) a 1994 - 2006 model year engine certified to California or federal emission standards, and a level 3 Verified Diesel Emission Control Strategy (VDECS) for PM emissions; or

(ii) a 2007 or newer model year engine certified to California or federal emission standards; or

(iii) a 1994 or new model year engine that meets or exceeds 2007 model year California or federal emissions standards.

January 1, 2014 Drayage Truck Deadline
Consistent with and in support of the CARB regulation, beginning January 1, 2014, at 12:01 a.m., Seaport Facility operators shall permit access into Seaport Facilities in the Port of Oakland only to a Drayage Truck that is compliant with the CARB regulation, as follows, unless the Drayage Truck has been exempted, or issued a waiver or extension by CARB, or issued a Port Temporary Non-Compliance Pass:

(i) a 2007 or newer model year engine certified to California or federal emission standards; or

(ii) 1994 or newer model year engine that meets or exceeds 2007 model year California or federal emissions standards.

For explanation of abbreviations and reference marks see Page 10.

ADOPTED: March 22, 2018
EFFECTIVE: July 1, 2018

Correction No. 732
SECTION II: GENERAL RULES AND REGULATIONS

COMPREHENSIVE TRUCK MANAGEMENT PROGRAM (CTMP)

Implementation of Drayage Truck Ban:

Permissible Entry of Drayage Trucks on Seaport Facilities

Any Drayage Truck turned away from a Seaport Facility pursuant to this subsection may be directed to the Port's Customer Service Center for assistance during normal business hours. After submission of required information by the Drayage Truck owner, the Port may issue a Temporary Non-Compliance Pass so that this Drayage Truck may enter the Seaport Facility. No more than one (1) Temporary Non-Compliance Pass for any one truck will be issued.

Drayage Trucks that are not able to demonstrate compliance with the CARB Regulation outside of the operating hours of the Customer Service Center (e.g., weekends or nights), may be granted entry into a Seaport Facility, in which case the Seaport Facility Operator must record this information required by the CARB Regulation to the Port’s Chief Wharfinger on a quarterly basis, specifically within seven (7) business days of the end of the month of March, June, September, and December. No more than five (5) such entries for any one driver and/or truck will be allowed from the effective date of Phase 6.

A Seaport Facility may permit entry of a Drayage Truck subject to the Truck Ban only in compliance with an Enforcement Plan which has been previously approved by the Port. Enforcement Plans are effective thirty (30) days after submission to the Port unless rejected by the Port prior to the expiration of the 30-day period. In addition, the Port may request further information or modification to an Enforcement Plan.

(Item 02415 continued on Next Page)
Implementation of Drayage Truck Ban:

Enforcement Plan Submissions

All Seaport Facility Operators shall develop an Enforcement Plan for compliance with the reporting requirements of the CARB Regulation 13 CCR §2027 as it applies to the Seaport Facility’s operations at the Port of Oakland Seaport. The Seaport Facility Operator shall submit the plan to the Port of Oakland’s Chief Wharfinger on or before November 16, 2009. The Enforcement Plan will describe the Seaport Facility Operator’s procedure for determining whether a Drayage Truck is in compliance with the drayage truck ban. Such procedures may include visual observation of the drayage truck ban. Such procedures may include visual observation of a CARB Compliance Label, presentation of proof of compliance, waiver, exemption or extension from CARB, or presentation of a Port-issued Temporary Non-Compliance Pass. The Enforcement Plan will also describe the steps to move Drayage Trucks through gates so as to avoid truck idling. Such a plan shall require the Seaport Facility Operator to collect the following information for each Drayage Truck that cannot demonstrate that it is CARB-compliant, subject to a CARB waiver or CARB extension, or exempt, and that the Seaport Facility Operator permits to enter any Seaport Facility.

(i) Dispatching motor carrier:
   a. business name of dispatching motor carrier;
   b. contact person’s name;
   c. street address, state, zip code of the dispatching motor carrier;
   d. phone number of the dispatching motor carrier; and
   e. bill of lading or tracking number.

(ii) Drayage truck:
   a. entry date and time;
   b. registered owner’s (or lessee’s) name;
   c. operator’s name;
   d. operator’s license number;
   e. drayage truck’s license plate number and state of issuance; and
   f. drayage truck’s vehicle identification number (VIN).

All information collected shall be kept for a period of not less than five (5) years from the truck entry date and is to be made available to enforcement personnel of CARB or CARB Designees within seventy-two (72) hours of an official written or oral request.

(Item 02415 continued on Next Page)
SECTION II: GENERAL RULES AND REGULATIONS

* Provisions of Item 02415 continued from Page 37AN

Implementation of Drayage Truck Ban:

Such information shall be reported to the Port’s Chief Wharfinger quarterly, specifically within seven (7) business days of the end of the months of March, June, September and December.

Non-Covered Vehicles: Vehicles that are not within the definition of Drayage Truck as set forth are not subject to the Enforcement Plan. This includes Authorized Emergency Vehicles, Dedicated Use Vehicles, LNG-Fueled Trucks, Military Tactical Support Vehicles and yard Trucks. The CARB Drayage Truck Regulation (Title 13 of California Code of Regulations Section 2027) provides a complete list of non-covered vehicles.

Circumstances for Exempting Drayage Trucks from the Truck Ban: The Seaport Facility Operator may, but is not required to, accommodate Drayage Trucks that are not compliant with the CARB regulation where the cargo is overweight or over-dimension, requiring special permits or routes. The information required by the CARB Regulation shall be recorded for Drayage Trucks granted entry under one of these circumstances, and provided to the Port of Oakland’s Chief Wharfinger quarterly as set forth above.

Adjustment of CARB Regulatory Deadline: The Seaport Facility Operator may accommodate deliveries by Drayage Trucks until the effective date of the applicable extended CARB deadline if CARB delays implementation of the regulatory deadlines set forth in Section (d) above and the Port notifies the Seaport Facility Operator prior to the applicable deadline.
SECTION II: GENERAL RULES AND REGULATIONS

SHORE POWER SYSTEM

Definitions:

Definition of terms used in Items 02505, 02510, 02515, 02520, 02525, 02530 and 02535 are contained in this Item 02500 and elsewhere in this Tariff. The following definitions shall apply to Items 02505, 02510, 02515, 02520, 02525, 02530, 02535 and 02540 unless otherwise provided herein or otherwise reasonably required given the context in which a term is used in those Items. In the event of any inconsistency between the definition given in this Item for a capitalized term, and the definition given elsewhere in this Tariff for that term, the definition given in this Item shall control for purposes of interpreting Items 02505, 02510, 02515, 02520, 02525, 02530, 02535 and 02540 hereinbelow. All capitalized terms not otherwise defined in this Item 02500 shall have the meaning given in Section I or elsewhere in this Tariff.

All references to “Code” refer to the applicable California Code unless specified otherwise.

“Berth”: refers to the designated location at the wharf where the vessel will dock and connect to the Shore Power System.

“Board”: refers to the Board of Port commissioners of the City of Oakland.

“CARB”: means the California Air Resources Board

“Marine Terminal” or “Terminal”: means a facility on Port property with water access used for the transfer of cargo from one mode to another, including container terminals, break-bulk terminals, and dry-bulk terminals.

“PG&E”: refers to Pacific Gas and Electric Company

“PG&E Interconnection Agreement”: refers to the Generating Facility Interconnection Agreement between the Pacific Gas and Electric Company (“PG&E”) and the Port.

“Port of Oakland” or “Port”: refers to the City of Oakland, a municipal corporation, acting by and through its Board.

“Regulation”: refers to the CARB’s adoption of the Final Regulation Order: Airborn Toxic Control Measure for Auxiliary Diesel Engines Operated on Ocean-Going Vessels At-Berth in a California Port.

(Item 02500 continued on Next Page)
Definitions:

"Port-Owned Shore Power System": refers to the shore-side electrical infrastructure that enables vessels to plug into the electric grid while at-berth, that was constructed and/or directly funded by the Port.

"Shore Power System": refers to the shore-side electrical infrastructure that enables vessels to plug into the electric grid while at berth, whether constructed by the Port or a Tenant. The Shore Power System includes the Port-Owned Shore Power System.

"Tenant": means an entity with contractual authority from the Port of Oakland to operate or with referential access to a Marine Terminal.

Purpose and Applicability:

The following tariff provision sets forth the rules, procedures and requirements for implementation and the safe and effective operation of the shore-side electrical infrastructure that enables vessels to plug into the electric grid while at-berth (herein referred to as the “Shore Power System”).

Shore Power System

Background and Overview:

In 2007, CARB adopted the Regulation requiring reductions in emissions of air pollutants from vessels docked at select California ports, including the Port of Oakland. In July 2009, pursuant to such Regulation, each of the Port’s Tenants filed a terminal plan with CARB, selecting the On-Board Power Generation Reduction Option as defined and set forth in the Regulation.

The selection of the On-Board Power Generation Reduction Option requires the construction of the Shore Power System. The majority of the Port’s Tenants requested that the Port construct the Shore Power System, which benefits both the Port and its Tenants, even though the Tenant has the right to construct such infrastructure under its current agreement(s) with the Port, and the Port is not legally obligated to provide the Shore Power System. Because the installation and construction of the Shore Power System will further the promotion of commerce, shipping, and the development of maritime facilities at the Port, the Port was willing to develop such Shore Power System for certain of its Tenants. In September of 2010, the Board approved the budget for and authorized design and construction of the Port-Owned Shore Power System.

(Item 2500 continued on Next Page)
SECTION II: GENERAL RULES AND REGULATIONS

* Provisions of Item 02510 continued from Page 37AQ

**Shore Power System**

In order to fund the design and construction of the Port-Owned Shore Power System, the Port, in coordination with its Tenants, applied for, was awarded, and expects to be reimbursed certain local, state, and federal grant funds, which require that certain operational requirements be met including but not limited to the attainment of certain emission reductions that are beyond those required by the Regulation.

The operation of the Shore Power System requires that certain procedures and Port requirements be met by the Tenants in order to ensure effective and safe operations in a manner that promotes compliance with the Regulation and with grant funding, as set forth herein.

**Substantial Completion of Construction:**

Once construction of the Shore Power System has been substantially completed in accordance with the Port’s or Tenant’s applicable construction contract(s) and any other activities that may be necessary to achieve substantial completion, the Tenant shall be responsible to operate and maintain the Shore Power System in accordance with this Section 02515.

For the Port-Owned Shore Power System, the Port will issue a Notice of Substantial Completion to the Tenant and the date of the Notice of Substantial Completion shall be the effective date of the Tenant’s responsibility to operate and maintain the Port-Owned Shore Power System in accordance with this Section.

**Training:**

The Tenant shall be responsible for all training of any and all Tenant and Tenant-related personnel, including the provision of personal protective equipment, involved in the operation and/or maintenance of the Shore Power System at the Tenant’s sole discretion and cost.

The Tenant’s failure to train Tenant’s personnel or to obtain a safety-trained and certified workforce in compliance with all applicable Laws is the sole responsibility of the Tenant, and any damages that may result solely from the Tenant’s use of an improperly trained workforce shall be the sole responsibility of the Tenant.

For explanation of abbreviations and reference marks see Page 10.

ADOPTED: May 18, 2017

EFFECTIVE: July 1, 2017
## Section II: General Rules and Regulations

### Shore Power System

**Operations Obligations:**

The Tenant is responsible for operating the Shore Power System in a manner that promotes safety and substantially complies with all applicable laws, regulations, standards and other applicable agreements, including, but not limited to, PG&E Interconnection Agreement and the Standard IEC/ISO/IEEE 80005: 2012 Utility Connections in Port - Part 1: High Voltage Shore Connection (HVSC) Systems – General Requirements.

**Maintenance Obligations Following Notice of Substantial Completion:**

The Tenant shall be responsible to perform minor maintenance of the Shore Power System, as indicated below. The Port shall be responsible to perform all other maintenance of the Shore Power System. The Port may transfer its maintenance responsibilities to the Tenant in the future by mutual agreement of the Port and Tenant, or by the Port providing six (6) months prior written notice to the Tenant.

1. Maintenance work performed by the Tenant shall include all minor maintenance and general housekeeping activities, including but not limited to:
   - a. Inspecting, cleaning/sweeping debris and lubricating hydraulic covers associated with shore power operations;
   - b. Inspecting condition of substations; and
   - c. Notifying the Port of any condition that may fall under the Port’s maintenance responsibilities.

2. Maintenance work performed by the Port shall include but not be limited to the following:
   - a. Repairing and replacing shore power circuit breakers per manufacturer’s recommendation;
   - b. Maintaining and updating software and programming for human machine interface;
   - c. Testing and calibrating meters and electronic equipment required for logging of connection data;
   - d. Removing rust and resealing and painting weathered surfaces as necessary;

(Item 02530 continued on Next Page)
## Section II: General Rules and Regulations

* Provisions of Item 02530 continued from Page 37AS

### Shore Power System

- e. Replacing and repairing shore power receptacles and space heaters;
- f. Exercising all shore power equipment at the substation, including circuit breakers, load interrupter switches, and lockout relays;
- g. Repairing damage to shore power vault and conduit systems, including but not limited to damage caused by tug boats, pilot boats, other vessels, terminal equipment, and flotsam;
- h. Repairing and maintaining all elements of the substation required to comply with IEC/ISO/IEEE 80005-1 and best utility practices;
- i. Repairing and maintaining 7.5MVA shore power transformers and neutral grounding resistors;
- j. Repairing and maintaining 12kV distribution equipment directly serving the 6.6kV substations;
- k. OSHA-required fire extinguisher and eye wash station inspections;
- l. Testing, repair, and replacement for PG&E-required 125VDC battery system;
- m. Repair and replacing of outdoor lighting and indicators;
- n. Inspection and repair of the power bus system;
- o. Inspection and repair of Shore Power System components under wharf deck;
- p. Any other necessary repair and replacement work required to safely and effectively operate the Shore Power System.

### Maintenance Obligations Following Notice of Substantial Completion:

The foregoing list of potential maintenance activities is not meant to be exhaustive and the Port may need to take additional actions to protect and maintain the Shore Power System.

3. Notification and Response to Defective Conditions:

- a. The Tenant shall notify the Port in writing of any defect or condition appearing to require major maintenance or repair to any part of the Shore Power System immediately upon discovering such condition and no later than forty-eight (48) hours after discovery. In the event the Tenant discovers a condition that the Tenant believes may affect life or safety, the Tenant shall immediately notify the Chief Wharfinger and Director of Maritime upon discovery of said condition.

- b. The Port shall have the right to inspect the Shore Power System at any time to meet its maintenance obligations and to verify that the Tenant is performing its maintenance obligations.
## Tenant Obligations Associated with Grant Funding:

This Item 02540 applies to facilities and berths with a Port-owned Shore Power System that was constructed pursuant to various grants (collectively, the “Grant Agreements”), which grants may be amended from time to time, and which require additional actions beyond those required by the Regulation:

- Grant under the American Recovery and Reinvestment Act of 2009 Transportation Investments Generating Economic Recovery (TIGER) Discretionary Grant Program and associated amendments or modifications (Grant No. DTMAG10007)
- Carl Moyer Memorial Air Quality Standards Attainment Program Grant and associated amendments or modifications (Grant No. 10MSIF-SP-1)
- Proposition 1B Goods Movement Grant and associated amendments or modifications (Grant No. 09SP01)
- Metropolitan Transportation Commission Climate Initiatives Program Grant and associated amendments or modifications

In accordance with grant funding obligations, Tenant shall:

1. Negotiate in good faith with a marine highway service operator to help establish the marine highway service when the Tenant is contacted by such an operator and/or the Port regarding such service.

2. Manage berthing assignments and work with its shipping line customers to plug-in vessels at each berth at the following rate/level, except as may otherwise be set forth in any property rental agreement entered into between the Tenant and the Port. The requirements set forth below do not in any way alter the requirements of the Regulation.
SECTION II: GENERAL RULES AND REGULATIONS

* Provisions of Item 02540 continued from Page 37AU

Shore Power System

Percentage of Vessel Calls Plugged into Shore Power
Annually At Each Berth:

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<tbody>
<tr>
<td></td>
<td>60%</td>
<td>80%</td>
<td>90%</td>
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</table>

The following vessels may not count toward these percentages: APL Korea, APL China, APL Philippines because these vessels have received grant funding that is separate and apart from the Grant Agreements provided herein. These percentages may be achieved through averaging as set forth in the Grant Agreements.
SECTION II: GENERAL RULES AND REGULATIONS

Shore Power System

Tenant Reporting Obligations

At the Tenant’s sole cost, Tenant shall provide the Port with all documentation necessary to determine the level of usage of the Shore Power System at each berth, as required by the Grant Agreements (where applicable) and by the Port, to the satisfaction of the granting agencies (where applicable) and the Port. The Tenant shall report using an electronic format provided by the Port according to the following schedule:

<table>
<thead>
<tr>
<th>Reporting Period</th>
<th>Type of Report</th>
<th>Report Due Date</th>
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</table>
| Jan 2014 through Jun 2014 | Monthly          | Feb 10\textsuperscript{th}  \\
|                        |                  | Mar 10\textsuperscript{th}  \\
|                        |                  | Apr 10\textsuperscript{th}  \\
|                        |                  | May 10\textsuperscript{th}  \\
|                        |                  | Jun 10\textsuperscript{th}  \\
|                        |                  | Jul 10\textsuperscript{th}  \\
|                        |                  | (Each for prior month)  |
| Jul 2014 through Dec 2015 | Quarterly       | Jan 10\textsuperscript{th}  \\
|                        |                  | Apr 10\textsuperscript{th}  \\
|                        |                  | Jul 10\textsuperscript{th}  \\
|                        |                  | Oct 10\textsuperscript{th}  \\
|                        |                  | (Each for prior quarter)  |
| Jan 2016 through Jun 2017 | Semi Annual    | Jan 10\textsuperscript{th}  \\
|                        |                  | Jul 10\textsuperscript{th}  \\
|                        |                  | (Each for prior 6 months)  |
| Jul 2017 through Dec 2023 | Quarterly    | Jan 15\textsuperscript{th}  \\
|                        |                  | Apr 15\textsuperscript{th}  \\
|                        |                  | Jul 15\textsuperscript{th}  \\
|                        |                  | Oct 15\textsuperscript{th}  \\
|                        |                  | (Each for prior quarter)  |

If the Report Due Date falls on a Saturday, Sunday, or Federal or State holiday, the Report shall be due on the next business day.

For explanation of abbreviations and reference marks see Page 10.

ADOPTED: May 18, 2017          EFFECTIVE: July 1, 2017